

**A SHORT NOTE ON HOPF ALGEBROIDS: EXT AND  
PRIMITIVES IN (TENSOR POWERS OF) THE  
AUGMENTATION IDEAL**

ABSTRACT. This is a short note on how to identify elements of  $\text{Ext}^n(A, A)$  as primitive element in a quotient of the  $n$ -th tensor power of the augmentation ideal  $I$  a Hopf algebroid  $(A, \Gamma)$ . One can thus understand Adams's  $d$ - and  $e$ - as well as Laures's  $f$ -invariant this way. This concept is general, hence applicable to hypothetical follow-up invariants.

Let  $(A, \Gamma)$  be a Hopf algebroid, such that  $\Gamma$  is flat over  $A$  and let  $I$  denote the augmentation ideal, i.e. the kernel of the augmentation

$$\epsilon: \Gamma \longrightarrow A.$$

Let  $(A, \Gamma)$  be connected, i.e  $\epsilon$  is an isomorphism in degree less than or equal to zero.

**Theorem 1.** *We can realize the isomorphism*

$$\omega: \text{Ext}_{\Gamma}^{n+1, q}(A, A) \longrightarrow P(I^{\otimes n+1}) / \sim$$

as follows: For  $S \in \text{Ext}_{\Gamma}^{n+1, q}(A, A)$  we construct  $\omega_S := \omega(S)$  as a defect class of the commutativity of the  $A$ -module splitting  $(\rho, \lambda)$  and the  $\Gamma$ -comodule action  $\psi_C$  in

$$\begin{array}{ccccc}
 & & \overset{\rho}{\curvearrowright} & & \overset{\lambda}{\curvearrowright} \\
 & & \text{---} & & \text{---} \\
 I^{\otimes n} & \xrightarrow{\alpha} & C & \xrightarrow{\beta} & A \\
 \downarrow \psi_{I^{\otimes n}} & & \downarrow \psi_C & & \downarrow \psi_A = \eta_L \\
 \Gamma \otimes I^{\otimes n} & \xrightarrow{1 \otimes \alpha} & \Gamma \otimes C & \xrightarrow{1 \otimes \beta} & \Gamma \otimes A \\
 & & \underset{1 \otimes \rho}{\curvearrowleft} & & \underset{1 \otimes \lambda}{\curvearrowleft}
 \end{array}$$

*Proof.*  $I$  is a free  $A$ -module on (a priori) infinitely many generators  $(g_i)_{i \in \mathfrak{S}}$ . (This means the cardinality of  $\mathfrak{S}$  may be infinite.)

A generator in  $I^{\otimes n} = I_1 \otimes \cdots \otimes I_n$ , where  $I_k$  just indicates the  $k$ -th copy of  $I$  in the tensor product, is therefore a combination  $g_{i_1} \otimes \cdots \otimes g_{i_n}$ , for  $g_{i_k} \in I_k$ .

Be aware that, since the Hopf algebroid  $(A, \Gamma)$  is connected, the degree of such a  $g_{i_k}$  is positive.

Using Lemma 4 we can work with a short exact sequence  $S_1$  of the form

$$(1) \quad S_1: I^{\otimes n} \xrightarrow{\alpha} C \xrightarrow{\beta} A,$$

which is the image of our  $(n+1)$ -extension  $\in \text{Ext}_{\Gamma}^{n+1, q}(A, A)$  under the sequence of isomorphisms described in Lemma 4.

Set  $r = q - n$ . The second index  $r$  in  $\text{Ext}_{\Gamma}^{1, r}(A, I^{\otimes n})$  requires

$$(2) \quad \text{deg}(\alpha) + \text{deg}(\beta) = -r.$$

So if  $\deg(\alpha) = p$ , it follows that  $\deg(\beta) = -(p + r)$ . Remark that under each sequence of  $\Gamma$ -comodules there is an underlying sequence of  $A$ -modules, which in the case of  $S_1$  splits, since  $A$  is a free module of rank one over itself (and free implies projective). We choose a splitting and call the splitting maps  $\rho$  and  $\lambda$ . So  $C$  has an  $A$ -module representation

$$(3) \quad C \cong I^{\otimes n} \cdot (x_i)_{i \in \mathfrak{S}} \oplus A \cdot y$$

where  $x_i = \alpha(h_i)$  for generators  $h_i = g_{i_1} \otimes \cdots \otimes g_{i_n}$  of  $I^{\otimes n}$  and  $y = \lambda(1)$  for the generator 1 of  $A$ .

Considering  $S_1$  and its splitting together with the natural  $\Gamma$ -coactions of the objects in  $S_1$  and the flatness of  $\Gamma$  over  $A$ , we obtain the diagram in the theorem.

The  $\Gamma$ -coaction of the generator  $y \in C$  is generally given by

$$\psi_C(y) = 1 \otimes y + \sum_{|y'|+|y''|=|y|} y' \otimes y'',$$

where  $y' \in I \subset \Gamma$  and  $y'' \in C$ , such that  $0 < |y'|, |y''| < |y|$ .

By the commutativity of the right square in the diagram we see that

$$(1 \otimes \beta)(\psi_C(y)) = 1 \otimes 1$$

Therefore by exactness of the bottom short exact sequence we get

$$(1 \otimes \rho)(\psi_C(y)) = \sum_{|y'|+|z''|=r} y' \otimes z''$$

If we substitute  $z'' \in I^{\otimes n}$  by its representation  $\sum a_j h_j$  in terms of generators  $h_j$  of  $I^{\otimes n}$  of suitable degrees, then

$$\begin{aligned} (1 \otimes \rho)(\psi_C(y)) &= \sum y' \otimes \sum a_j h_j \\ &= \sum \sum y' \cdot a_j \otimes h_j, \\ &= \sum w_j \otimes h_j \end{aligned}$$

for  $w_j = \sum y_j \cdot a_j$ . Thus we obtain for the  $\Gamma$ -coaction of  $y$

$$(4) \quad \psi_C(y) = 1 \otimes y + \sum_{|w_j|+|x_j|=|y|} w_j \otimes x_j.$$

Remark that  $w_S = (1 \otimes \rho)(\psi_C(y))$  is an element of  $I^{\otimes n+1} = I \otimes I^{\otimes n} \subset \Gamma \otimes I^{\otimes n}$ , since  $y' \in I$  and  $h_j \in I^{\otimes n}$ . Since the  $\Gamma$ -coaction of  $I^{\otimes n+1}$  is given by

$$\psi_{I^{\otimes n+1}} = \psi_\Gamma \otimes 1 - 1 \otimes \psi_{I^{\otimes n}}$$

we calculate

$$\begin{aligned} \psi_{I^{\otimes n+1}}(w_S) &= \psi_\Gamma \otimes 1(w_S) - 1 \otimes \psi_{I^{\otimes n}}(w_S) \\ &= 1 \otimes \left( \sum w_j \otimes h_j \right) + \sum \left( \sum w'_j \otimes w''_j \right) \otimes h_j - \sum w_j \otimes \left( \sum h'_j \otimes h''_j \right). \end{aligned}$$

By Lemma 3 the two latter terms are equal, and hence  $w_S \in I^{\otimes n+1}$  is primitive.

So far this depends on the choice of splitting. Proposition ?? takes care of this by specifying the necessary equivalence relation that one has to divide out.

Conversely we see very easily that each primitive element  $w$  (representing its residue class) induces a comodule structure on  $C$  by

$$\begin{aligned}\psi_C(x_i) &= (1 \otimes \alpha)(\psi_{I^{\otimes n}}(h_i)) \\ \psi_C(y) &= 1 \otimes y + (1 \otimes \alpha)(w).\end{aligned}$$

□

**Lemma 2.** *The  $\Gamma$ -coactions of  $h_i \in I^{\otimes n}$  and  $x_i \in C$  are given by*

$$(5) \quad \begin{aligned}\psi_{I^{\otimes n}}(h_i) &= 1 \otimes h_i + \sum_{\substack{i,j \\ |\bar{h}_{i,j}|+|h_j|=k}} \bar{h}_{i,j} \otimes h_j \\ \psi_C(x_i) &= 1 \otimes x_i + \sum_{\substack{i,j \\ |\bar{h}_{i,j}|+|x_j|=|x_i|}} \bar{h}_{i,j} \otimes x_j.\end{aligned}$$

*Proof.* Suppose the degree of the generator  $h_i \in I^{\otimes n}$  is  $k = |g_{i_1}| + \dots + |g_{i_n}|$ . Then the general  $\Gamma$ -coaction of  $h_i$  looks like

$$\psi_{I^{\otimes n}}(h_i) = 1 \otimes h_i + \sum_{\substack{h'_i, h''_i \\ |h'_i|+|h''_i|=k}} h'_i \otimes h''_i,$$

where  $h'_i \in I$ ,  $h''_i \in I^{\otimes n}$  and  $0 < |h'_i|, |h''_i| < k$ . Further all  $h''_i$  are of the following form

$$(6) \quad h''_i = \sum_{\substack{j \\ |h'_i|+|h_j|=k}} a_j h_j,$$

which implies

$$\begin{aligned}\psi_{I^{\otimes n}}(h_i) &= 1 \otimes h_i + \sum_{\substack{h'_i, h''_i \\ |h'_i|+|h''_i|=k}} h'_i \otimes \sum_{\substack{j \\ |h'_i|+|h_j|=k}} a_j h_j \\ &= 1 \otimes h_i + \sum_{\substack{h'_i, h''_i \\ |h'_i|+|h''_i|=k}} \sum_{\substack{j \\ |h'_i|+|h_j|=k}} h'_i \cdot a_j \otimes h_j.\end{aligned}$$

Set

$$(7) \quad \bar{h}_{i,j} = \sum_{\substack{j \\ |h'_i|+|h_j|=k}} h'_i \cdot a_j$$

to obtain

$$\psi_{I^{\otimes n}}(h_i) = 1 \otimes h_i + \sum_{\substack{i,j \\ |\bar{h}_{i,j}|+|h_j|=k}} \bar{h}_{i,j} \otimes h_j.$$

By the commutativity of the left square of the diagram we now see that the  $\Gamma$ -coaction of the generators  $x_i \in C$  is given by

$$\psi_C(x_i) = 1 \otimes x_i + \sum_{\substack{i,j \\ |\bar{h}_{i,j}|+|x_j|=|x_i|}} \bar{h}_{i,j} \otimes x_j.$$

□

**Lemma 3.**

$$\sum \left( \sum w'_j \otimes w''_j \right) \otimes h_j = \sum w_j \otimes \left( \sum h'_j \otimes h''_j \right)$$

*Proof.* We use the coassociativity condition of  $\psi_C$  applied to the generator  $y$

$$(8) \quad (\psi_\Gamma \otimes 1) \circ \psi_C(y) = (1 \otimes \psi_C) \circ \psi_C(y).$$

Using (4) we are able to calculate both sides as

$$(\psi_\Gamma \otimes 1) \circ \psi_C(y) = 1 \otimes 1 \otimes y + 1 \otimes \left( \sum w_j \otimes x_j \right) + \sum w_j \otimes 1 \otimes x_j + \sum \sum w'_j \otimes w''_j \otimes x_j$$

and further using (5) as

$$(1 \otimes \psi_C) \circ \psi_C(y) = 1 \otimes 1 \otimes y + 1 \otimes \left( \sum w_j \otimes x_j \right) + \sum w_j \otimes (1 \otimes x_j + \sum \bar{h}_{i,j} \otimes x_i).$$

From equality it follows now that

$$\sum \left( \sum w'_j \otimes w''_j \right) \otimes x_j = \sum w_j \otimes \left( \sum \bar{h}_{i,j} \otimes x_i \right).$$

From

$$\begin{array}{ccccc} & & \overset{\rho}{\curvearrowright} & & \overset{\lambda}{\curvearrowright} \\ & & \longleftarrow & & \longleftarrow \\ I^{\otimes n} & \xrightarrow{\alpha} & C & \xrightarrow{\beta} & A \\ \psi_{I^{\otimes n}} \downarrow & & \downarrow \psi_C & & \downarrow \psi_{A=\eta_L} \\ \Gamma \otimes I^{\otimes n} & \xrightarrow{1 \otimes \alpha} & \Gamma \otimes C & \xrightarrow{1 \otimes \beta} & \Gamma \otimes A \\ \psi_{\Gamma \otimes 1} \downarrow & & \downarrow \psi_{\Gamma \otimes 1} & & \downarrow 1 \otimes \psi_A \\ \Gamma \otimes \Gamma \otimes I^{\otimes n} & \xrightarrow{1 \otimes 1 \otimes \alpha} & \Gamma \otimes \Gamma \otimes C & \xrightarrow{1 \otimes 1 \otimes \beta} & \Gamma \otimes \Gamma \otimes A \\ & & \downarrow 1 \otimes 1 \otimes \psi_C & & \downarrow 1 \otimes 1 \otimes \psi_A \\ & & \Gamma \otimes \Gamma \otimes I^{\otimes n} & \xrightarrow{1 \otimes 1 \otimes \alpha} & \Gamma \otimes \Gamma \otimes C & \xrightarrow{1 \otimes 1 \otimes \beta} & \Gamma \otimes \Gamma \otimes A \\ & & \longleftarrow & & \longleftarrow \\ & & \underset{1 \otimes 1 \otimes \rho}{\curvearrowright} & & \underset{1 \otimes 1 \otimes \lambda}{\curvearrowright} \end{array}$$

we see that applying  $(1 \otimes 1 \otimes \rho)$  to both sides of (8) we get

$$\sum \left( \sum w'_j \otimes w''_j \right) \otimes h_j = \sum w_j \otimes \left( \sum \bar{h}_{i,j} \otimes h_i \right).$$

And using (6) and (7) we see that the latter is

$$\sum w_j \otimes \left( \sum \bar{h}_{i,j} \otimes h_i \right) = \sum w_j \otimes \left( \sum h'_j \otimes h''_j \right).$$

Thus we obtain

$$\sum \left( \sum w'_j \otimes w''_j \right) \otimes h_j = \sum w_j \otimes \left( \sum h'_j \otimes h''_j \right).$$

□

**Lemma 4.**

$$\text{Ext}_\Gamma^{n+1,q}(A, A) \cong \text{Ext}_\Gamma^{n,q-1}(A, I) \cong \dots \cong \text{Ext}_\Gamma^{1,q-n}(A, I^{\otimes n})$$

*Proof.* We consider the short exact sequence

$$I^{\otimes n+1} \longrightarrow I^{\otimes n} \longrightarrow \Gamma \otimes I^{\otimes n}.$$

Applying the left exact functor  $\text{Hom}_\Gamma(A, -)$  yields a long exact sequence, where  $\text{Ext}_\Gamma^{n+1}(A, \Gamma \otimes I^{\otimes n}) \cong 0$  for  $n \geq 0$ , since  $\Gamma \otimes I^{\otimes n}$  is a relatively injective comodule, even an extended one (cf Lemma A1.2.8 (b) in Ravenel '86). □

**Proposition 5.** *The equivalence relation  $\sim$  on the primitive elements in  $I^{\otimes n+1}$  of Theorem 1 is given as follows:*

$$w = \sum w_j \otimes h_j \sim \sum \bar{w}_j \otimes h_j = \bar{w}$$

if and only if for all  $j$  there exist  $a_j \in A$ , such that

$$\sum \bar{w}_j \otimes h_j = \sum w_j \otimes h_j + \sum \eta_L(a_j)\psi_{I^{\otimes n}}(h_j) - \sum \eta_R(a_j) \otimes h_j.$$

*Proof.* When we say that the short exact sequence (1) splits and use the  $A$ -module representation (3) of the  $\Gamma$ -comodule  $C$ , we actually make a choice  $(\rho, \lambda)$  of such a splitting. We could obviously choose another splitting  $(\bar{\rho}, \bar{\lambda})$ , i.e.  $\bar{\lambda}(1) = \bar{y} = y + \sum a_j x_j$ , and since the  $\Gamma$ -comodule structure of  $C$  must not depend on the chosen  $A$ -module splitting, the generators  $y$  and  $\bar{y}$  should induce the same primitive element  $w = \bar{w}$ .

In general this will not be the case and hence we have to introduce an equivalence relation on the group  $P(I^{\otimes n+1})$  of primitive elements, such that  $w$  and  $\bar{w}$  are in the same residue class. This equivalence relation can be derived as follows.

With the same argumentation we used for  $y$  we see that  $\bar{y}$  gives

$$\psi_C(\bar{y}) = 1 \otimes \bar{y} + \sum \bar{w}_j \otimes x_j$$

for the coaction of  $\bar{y}$ . On the other hand we can use the representation  $\bar{y} = y + \sum a_j x_j$  to compute

$$\begin{aligned} \psi_C(\bar{y}) &= \psi_C(y + \sum a_j \otimes x_j) \\ &= \psi_C(y) + \sum a_j \psi_C(x_j) \\ &= 1 \otimes y + \sum w_j \otimes x_j + \sum \eta_L(a_j)\psi_C(x_j) + \sum 1 \otimes \eta_L(a_j)x_j - \sum 1 \otimes \eta_L(a_j)x_j \\ &= 1 \otimes \bar{y} + \sum w_j \otimes x_j + \sum \eta_L(a_j)\psi_C(x_j) - \sum \eta_R(a_j) \otimes x_j. \end{aligned}$$

When we now apply  $(1 \otimes \rho)$  to  $\psi_C(y)$  we get the following equation.

$$\sum \bar{w}_j \otimes h_j = \sum w_j \otimes h_j + \sum \eta_L(a_j)\psi_{I^{\otimes n}}(h_j) - \sum \eta_R(a_j) \otimes h_j.$$

□

**Remark 1.** *For  $n = 0$  this specializes to the well known result*

$$\text{Ext}_{\Gamma}^{1,q}(A, A) \xrightarrow{\cong} P(E_*\bar{E})_q / (\eta_L - \eta_R)(A)_q,$$

since there is only one  $h_j$ , the generator  $1 \in A$ , satisfying

$$\psi_{I^{\otimes 0}}(1) = \psi_A(1) = \eta_L(1) = 1 \otimes 1.$$

**Remark 2.** *This is a short reminder about splitt short exact sequences.*

*A short exact sequence is called split, if the following diagram commutes*

$$\begin{array}{ccccc} & \overset{\rho}{\curvearrowright} & & \overset{\lambda}{\curvearrowright} & \\ A & \xrightarrow{\alpha} & C & \xrightarrow{\beta} & B \\ & \parallel & \downarrow \cong & & \parallel \\ A & \xrightarrow{(0,1)} & B \oplus A & \xrightarrow{pr_1} & B \\ & \overset{pr_2}{\curvearrowleft} & & \overset{(1,0)}{\curvearrowleft} & \end{array}$$

*This definition has the advantage that it is clear that the splitting maps  $(\rho, \lambda)$  form a short exact sequence by themselves, since the other splitting is exact and the diagram*

*is commutative. In particular we can construct for a given  $\lambda$  with  $\beta \circ \lambda = 1$  the corresponding map  $\rho$  and vice versa. Splittings are not unique, we always have to choose a  $\lambda$ , i.e. image  $y$  of  $\lambda$ .*